

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/612,267
		Filing Date	July 1, 2003
		First Named Inventor	Susan Mathews Brown
		Art Unit	
Total Number of Pages in This Submission		Examiner Name	not yet known
		Attorney Docket Number	017242-011000US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Postcard, copies of nine (9) cited references
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Darin J. Gibby Reg. No. 38,464
Signature	
Date	10/16/03

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Nicole M. Wartell		
Signature		Date	10/16/03

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 017242-011000US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On October 16, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole M. Wartell  
Nicole M. Wartell

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Susan H. Mathews Brown et al.

Application No.: 10/612,267

Filed: July 1, 2003

For: SUPPORT PILLOW FOR SMALL  
INFANTS

Examiner:

Art Unit:

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. U.S. patent references are not enclosed in accordance with the Patent Office waiver issued August 5, 2003 in the Official Gazette, which states as follows:

The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and

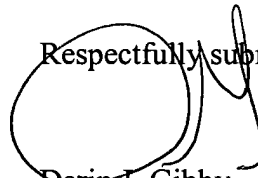
for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b).

In accordance with 37 CFR 1.98(a)(2), copies of the foreign patent references and non-patent literature are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

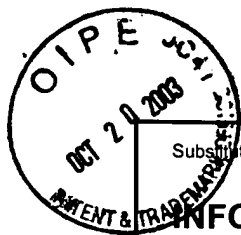
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Darin J. Gibby  
Reg. No. 38,464

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DJG:nmb  
60059492 v1



Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/612,267	
			Filing Date	July 1, 2003	
			First Named Inventor	Mathews Brown, Susan H.	
			Art Unit		
			Examiner Name	not yet known	
Sheet	1	of	3	Attorney Docket Number	017242-011000US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A1	US-1,251,461	01-01-1918	Bachmann	
	A2	US-3,842,977	10-22-1974	Hollander	
	A3	US-3,848,281	11-19-1974	Mathews	
	A4	US-4,000,768	01-04-1977	Siegel	
	A5	US-4,197,604	04-15-1980	Nakamura	
	A6	US-4,383,713	05-17-1983	Roston	
	A7	US-4,434,513	03-06-1984	Welch	
	A8	US-4,731,890	03-22-1988	Roberts	
	A9	Des 316,793	05-14-1991	Manjarrez	
	A10	US-5,048,136	09-17-1991	Popitz	
	A11	US-5,193,238	03-16-1993	Clute	
	A12	US-5,261,134	11-16-1993	Mathews	
	A13	Des 352,633	11-22-1994	Berggren	
	A14	Des 360,554	07-25-1995	Righini	
	A15	US-5,546,620	08-20-1996	Mathews	
	A16	US-5,586,351	12-24-1996	Ive	
	A17	US-5,661,861	09-02-1997	Mathews	
	A18	US-5,675,853	10-14-1997	Linge	
	A19	Des 393,772	04-28-1998	Vingino	
	A20	US-5,930,854	08-03-1999	O'Neill et al.	
	A21	Des 416,159	11-09-1999	Porter	
	A22	US-5,979,981	11-09-1999	Dunne et al.	
	A23	US-6,038,720	03-21-2000	Mathews et al.	
	A24	US-6,055,687	05-02-2000	Mathews	
	A25	US-6,161,959	12-19-2000	Abraham	
	A26	US-6,279,185 B1	08-28-2001	Mathews	
	A27	Des 450,516	11-20-2001	Darling et al.	
	A28	US-6,321,403 B1	11-27-2001	Mathews	
	A29	US-2002/0014436	02-07-2002	Mathews Brown	
	A30	US-6,412,128 B1	07-02-2002	Mathews	
	A31	US-6,434,770 B2	08-20-2002	Mathews Brown	
	A32	US-6,453,493 B1	09-24-2002	Mathews Brown	
	A33	US-6,523,200 B2	02-25-2003	Brown	
	A34	US-6,532,612 B2	03-18-2003	Mathews Brown	
	A35	US-6,625,828	09-30-2003	Brown	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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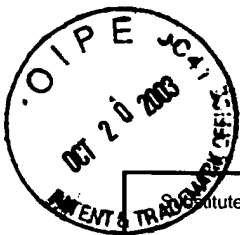


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Attorney Docket Number	017242-011000US		
Sheet	2	of	3

FOREIGN PATENT DOCUMENTS								
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	EPO	EP 1 306 034	A1	05-02-2003			<input type="checkbox"/>
	B2	FR	2 379 268		09-01-1978			<input type="checkbox"/>
	B3	PCT	WO 02/21978	A2	03-21-2002			<input type="checkbox"/>
	B4	PCT	WO 02/28232	A1	04-11-2002			<input type="checkbox"/>
	B5	PCT	WO 02/21979	A1	03-21-2002			<input type="checkbox"/>

Examiner Signature		Date Considered	
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				Examiner Name	not yet known
Sheet	3	of	3	Attorney Docket Number	017242-011000US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Heads Up infant head support Advertisement, Gold Bug, 1998, Colorado, U.S.A.	
	C2	Nojo Double Head Support Advertisement, Crown Infants Products, 1999, California, U.S.A	
	C3	Graco, All-in-One Infant Support Advertisement, Graco Children's Products Inc., 2000	
	C4	Infant Head Rest Advertisement, Kidsline, California, U.S.A.	

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